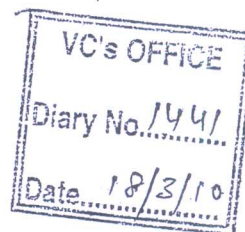




UNIVERSITY GRANTS COMMISSION  
BAHADUR SHAH ZAFAR MARG  
NEW DELHI - 110 002



No.F.6-7/2009(SAP-III)

To

The Registrar,  
University of Delhi,  
Delhi-110 007

*Congratulations*

*MoD (Economics)*

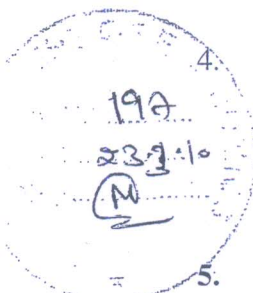
~~February~~, 2010

March  
**16 MAR 2010**

Sub: University Grants Commission Assistance to selected departments under Special Assistance Programme (SAP) – Review of the Programme in the Department of **Economics, University of Delhi** for continuation of the programme (at CAS level) para period of 5 years (1.4.2010 to 31.3.2015).

Sir,

1. The UGC Special Assistance Programme (SAP) is intended through constant effort to raise the quality of teaching/ research in different disciplines in Humanities, Social Science, Engineering & Technology science departments and carefully selected on the basis of their work, academic achievements and viable potential for further development. The essence and primary aim of the scheme is combination of teaching and research to encourage group research efforts in pursuit of excellence.
2. The **Department of Economics** was implementing the **phase- (2004-2009)** of the programme at the level of **CAS** approved for a duration of five years.
3. As per guidelines, the Commission constituted an Expert Committee to review the progress made by the above department which has completed its approved term of participation under the Special Assistance Programme (SAP). The Expert Committee Reviewed the department on **18.12.2009 at UGC Office**.
4. The Review Committee, after a very careful and critical in-depth examination of the academic achievements made by the department during the terms as well as discussing various aspects of implementation of the programme with the departmental representatives has submitted their recommendations to the Commission
5. The UGC has approved the **Department for continuation from of the (CAS level)** programme for a further period of **Five years**.



*Ashok Datta*  
*R. Pandit*  
*23.3.10*

6. On the basis of the recommendations of the Review Committee, I am directed to convey the approval of the University Grants Commission to the **continuation** of the programme at the level of **CAS-** for a duration of **five Years** with the following thrust area(s) for research and teaching.

Thrust Area(s) Identified:

- (i) **Development Economics**
- (ii) **Applied Economics**

As recommended by the Review Committee, the Co-ordinator of the programme for the present phase of the programme will be as indicated below:

- 1. **Prof. B.L. Pandit,** Co-ordinator,
- 2. **Prof. T.C.A. Anant, as** Deputy Coordinator

The Co-ordinator may continue till the end of the present duration of the programme or till his/her superannuation.

7. The financial assistance approved for implementing the present phase at the level of **CAS-** for a duration of **Five years (01.04.2010 to 31.03.2015)** are given below:

Non Recurring	:	Rs. 20,00,000/-
Recurring	:	Rs. 41,00,000/-
Total: ( NR + R) for 5 years		<u>Rs. 61,00,000/-</u>

Details of the item-wise grant approved above is given in the **Annexure-I**

It may be noted that if the university is unable to implement the approved scheme within six months from the date of issue of this letter , the approval shall be treated as with drawn.

8. The Commission will also provide additional grant (Subject to availability of funds ) for the following purposes:-
- i) Maintenance, modernisation, upgradation, accessories spare etc. for equipments procured under the programme @ 5% of total equipment cost per annum from the date of expiry of warranty period till the end of the term. Thereafter, it has to be met by the University/Institute:



ii) Expenditure incurred or any amount deducted from the sanctioned amount by the university for any other purposes other than the items approved for implementing the programme will not be acceptable to the Commission.

9. To avoid inbreeding in SAP supported departments regarding recruitment of teachers and intake of students, the Commission has decided that the appointment on the faculty position in the departments financially assisted under Special Assistance Programme of the University Grants Commission be made from among the applications who have obtained their last academic qualification (M.A./M.Sc./M.Phil/Ph.D) from the university other than the one for which the appointment is being made. It has also been decided that the preference be given to the students from other states on at least 20% of the prescribed number of seats for admission in Graduate and Post Graduate courses in the departments under Special Assistance Programme (SAP).
10. The Additional financial inputs for Summer institute, Attachment of students, International Collaboration etc. may be extended by the Commission on receipt of specific proposal from the University / Department and subject to availability of funds under the programme.
11. As stipulated in the revised guidelines for SAP Programme all sanctions under Special Assistance Programme (SAP) henceforth are subject to the conditions that such departments under this programme would have to be given autonomy by the university/institute for academic, financial and administrative matters relating to the Special Assistance Programme (SAP).
12. It is desired that the departments having SAP should immediately introduce the examination reform measures and funding for SAP and COSIST would be linked with the implementation of the minimum programme of examination reforms in these departments.
13. It may also be ensured that the physical facilities created under the Special Assistance Programme (SAP)/COSIST may be opened, to be used by the other faculty members of the departments and other users within the university and from other universities/agencies.
14. The University is to maintain a separate bank account for the grants released under Special Assistance Programme. All interest earned by the university/department by investment of funds sanctioned and paid by the UGC under Special Assistance Programme will be treated as additional grant and may be spent only after prior approval of the UGC.
15. Other general terms and conditions of the above grant are in the SAP guidelines on the UGC website.
16. The university/institute may follow the norms for appointment of Programme Co-ordinator and Dy. Co-ordinator (no Joint Co-ordinator or Co-Co-ordinator) and

also constitute an Advisory Committee as per the guidelines of the Commission and follow the terms of reference of the Advisory Committee to ensure effective implementation and monitoring of the Programme. The constitution of the Advisory Committee is compulsory for all departments which are being supported under SAP. The UGC nominees in the Committee will be as indicated below. The department may contact the UGC nominees for their acceptance and intimate to the Commission.

- (i) **Prof. Surjit Singh, Director, Institute for Development Studies, Jhalana Institute Area, Jaipur**
- (ii) **Prof. Ravi Srivastava, Department of Economics, Jawaharlal Nehru University, New Delhi-110 067**

The active participation of UGC nominees in each meeting of the Advisory Committee is essential. The composition and terms & reference of the Advisory Committee are available in the UGC website [www.ugc.ac.in](http://www.ugc.ac.in).

17. For optimising the effective and usefulness of the programme the Commission will send an expert committee or organise group monitoring/ review after two years of support given to the department for mid-term evaluation of the progress of work done by the department. The department will send accordingly a consolidated progress report in the prescribed form.
18. The university/institute/department is requested to take immediate steps to submit the following information/documents for necessary action:
  - i) Acceptance of the terms and conditions of the grants duly signed by the Registrar of the University/Institute.
  - ii) Name of the competent University Officer with full address in favour of the Demand Draft is to be sent by the UGC.
  - iii) Bank and Account number with address.
  - iv) Detailed statement of year-wise actual expenditure incurred against the grants allocated, sanctioned during the last phase may be submitted in the PROFORMA in the Annexure -V, of SAP Guidelines duly audited and certified by the Competent authority, in order to finalise the accounts of the earlier phase.
  - v) Name of the Department Co-ordinator and Dy. Co-ordinator indicating (i) present designation (ii) specialised areas(s) of research and (iii) date of superannuation.
  - vi) List of members of the Advisory Committee constituted by the university/ institute as per guidelines.



- vii) Year-wise academic programme and action proposed to be undertaken by the department during the period of 5 years to implement the programme.
  - viii) An undertaking from the University/institute to take over the recurring liabilities of the items including staff approved under the programme other than research fellow i.e JRFs/RAs/PFs after a period of 5 years of the programme.
  - ix) Action taken on the academic recommendations made by the Review/Visiting Committee may be intimated in due course.
  - x) The annual report of the work done during the year (as per effective date of the programme) should be submitted by the Programme Co-ordinator highlighting the academic achievements in research and teaching and indicating separately the progress in procuring of equipment/construction of building (only addition, alteration and renovation, if sanctioned under the programme) and the list of papers published in referred journal during the year positively reported by the end of every year.
  - xi) The steps taken by the university/ institute to implement the decision of the Commission as indicated in Para-ix and x above.
19. The university/institute shall take all possible measures to ensure effective implementation of policies of Government of India relating to SC/ST students and teachers in regard to the UGC programme. In case of non-teaching staff, the policies of the Central Government in respect of Central Universities and of the State Government in respect of State Universities shall be implemented.
20. The first instalment of admissible recurring grant is being sanctioned separately. **Non-Recurring grant would be released after receipt of audited accounts for the whole five years programme/finalization of the previous phase accounts.** In the meantime, the University may submit the information requested for at para 18 (i, ii, iii, iv, v & vi) by return of post. The programme will be effective from **01.04.2010 to 31.03.2015.**
21. No request for any change in the effective date will be considered.
22. It may be noted that if orders for purchase of equipment are not placed within six months from the date of receipt of the grant by the university, the approval shall be treated as withdrawn.
23. The second and subsequent instalment of grant for any approved items will be considered and sanctioned only on receipt of the Utilisation Certificate of the earlier instalment in the prescribed form duly signed by the Registrar/Finance Officer as the case may be.

24. The University/Institution shall include a specific condition in the Utilization Certificate, in respect of any financial assistance or grants-in-aid to any institution under any of the general or special schemes of the Commission that the University/institution has complied with the anti-ragging measures.

Yours faithfully,

( P. PRAKASH )  
JOINT SECRETARY

Note: Please see the Guidelines on the UGC website [www.ugc.ac.in](http://www.ugc.ac.in).

Copy to :

1. Prof. B.L. Pandit, Co-ordinator  
CAS Programme,  
Department of Economics  
University of Delhi, Delhi-110 007
2. ✓ The Vice Chancellor,  
University of Delhi, Delhi-110 007
3. Guard File .
4. F.No.6-2/2003(SAP-III) (previous phase file)

*Baer*  
( BALBIR BHATIA )  
UNDER SECRETARY

Annexure - I

UNIVERSITY GRANTS COMMISSION

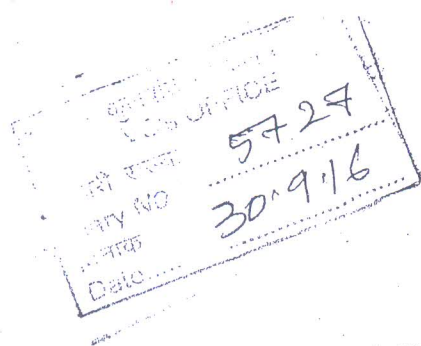
FINANCIAL ASSISTANCE APPROVED FOR IMPLEMENTATION OF THE SPECIAL ASSISTANCE PROGRAMME (SAP) IN THE DEPARTMENT OF Economics, University of Delhi AT THE LEVEL OF CAS PHASE FOR A PERIOD OF FIVE YEARS FROM (01.04.2010 to 31.03.2015).

S. No.	Item	Amount approved
<b>I</b>	<b>Non-recurring</b>	
(i)	<b>Equipment</b> (20 Computer, Accessories and Software)	20,00,000/-
	<b>Total (N.R.)</b>	<b>20,00,000/-</b>
<b>II</b>	<b>Recurring</b>	
1.	Contingency/Working expenses @Rs.1.00 lakh p.a.	5,00,000/-
2.	Travels/Field facilities/Field trips for faculty members only(all within India only) @Rs.2.00 lakh p.a.	10,00,000/-
3.	Visiting fellow @ Rs.1.20 lakh p.a.	6,00,000/-
4.	Seminars (for organization) on thrust area, @ Rs.2.00 lakh (for three seminar only)	6,00,000/-
5.	Hiring the services of technical/Industrial/Secretarial assistance as relevant to the programme (for programme duration only) @ Rs. 0.40 p.a.	2,00,000/-
6.	Advisory Committee meetings (TA/DA for UGC nominees in the Committee) @ Rs.0.40 p.a.	2,00,000/-
7.	Books and journals @Rs. 2.00 lakh p.a.	10,00,000/-
	<b>Total (R)</b>	<b>41,00,000/-</b>
	<b>Grant Total (NR+R.)</b>	<b>61,00,000/-</b>

Total NR+R (Rs.20,00,000/- + Rs.41,00,000/-) = Rs.61,00,000/-

  
(BALBIR BHATIA)  
UNDER SECRETARY





Dean (P.G.)  
30/9/16

मुख्यालय के लिए  
For the Office of Registrar  
28/9/16

UNIVERSITY GRANTS COMMISSION  
BAHADUR SHAH ZAFAR MARG  
NEW DELHI – 110 002

21 SEP 2016

No. F.6-3/CAS-III/2016 (SAP-III)

September, 2016

To  
The Registrar,  
University of Delhi,  
Delhi – 110 007

Subject:- University Grants Commission Assistance to the selected department under Special Assistance Programme (SAP) - Review of the Programme in the Department of Economics (Delhi School of Economics), University of Delhi, Delhi – 110 007 for up gradation /continuation from CAS-II to CAS-III for a period of 5 years (01-04-2016 to 31-03-2021).

Sir,

1. The UGC Special Assistance Programme (SAP) is intended through constant effort to raise the quality of teaching/ research in different disciplines in Bio-Sciences, Sciences, Engineering & Technology, Humanities, Social Science departments and carefully selected on the basis of their work, academic achievements and viable potential for further development. The essence and primary aim of the scheme is combination of teaching and research to encourage group research efforts in pursuit of excellence.
2. The Department of Economics (Delhi School of Economics) was implementing CAS-II of the programme approved for a duration of five years for 01.04.2010 to 31.03.2015.
3. As per guidelines, the Commission constituted an Expert Committee to review the progress made by the above department which has completed its approved term of participation under the Special Assistance Programme (SAP). The Expert Committee Reviewed the department on 29th May, 2015 in the office of UGC New Delhi.
4. The Review Committee, after a very careful and critical in-depth examination of the academic achievements made by the department during the term as well as discussing various aspects of implementation of the programme with the departmental representatives has submitted their recommendations to the Commission.
5. The UGC has approved the Department of Economics (Delhi School of Economics) from CAS-II to CAS-III programme for a further period of five years from 01-04-2016 to 31-03-2021. Period from 01-04-2015 to 31-03-2016 may be treated as gap year.

PU/2451  
5/10/16  
7/10

R. 6250  
09/10/16



...2...

6. On the basis of the recommendations of the Review Committee, I am directed to convey approval of the University Grants Commission to the continuation/Up-gradation of the programme at the level of CAS-III for a duration of 5 years with the following thrust area(s) for research and teaching.

**Thrust Area Identified**

- Applied Econometrics.
- Development Economics.

As recommended by the Review Committee, the Coordinator & the Deputy Coordinator of the Programme for the present phase of the Programme will be as indicated below:

**Name of Coordinator:** - Prof. Aditya Bhattacharjee.

**Name of Deputy Coordinator:** - To be decided by the Vice-Chancellor & intimated to UGC accordingly.

The Coordinator may continue till the end of the present duration of the programme or till his/her superannuation.

7. The financial assistance approved for implementing the present phase at the level of CAS-III for a duration of 5 years (01/04/2016 to 31/03/2021) is given below :-

S. No.	Non-Recurring (Items)	Rs. (In Lakh)
1.	Equipment ( Computers & other accessories and related softwares)	15.00
2.	Bui ding (upgradation/augmentation extension of existing laboratory for housing and Installation of new equipment)(maximum Limit upto 20 lakhs) including air-conditioning	10.00
	<b>TOTAL</b>	<b>25.00</b>
S.No.	Recurring	
1.	Cortingency/Working expenses @ Rs.80,000/- p.a.	4.00
2.	Travel/Field facilities/Field trips for Faculty members only (all within India Only) @Rs.1,60,000/- p.a.	8.00
3.	Visiting Fellows @Rs.80,000/- p.a.	4.00
4.	Seminars ( for organization) on thrust area @ Rs. 2,00,000/- p.a.	10.00
5.	Hiring the services of Technical/Industrial/Secretarial assistance as relevant to the programme (for programme duration only) @Rs.3,80,000/- p.a.	19.00
6.	Advisory Committee meetings (TA/DA for UGC nominee in the committee) @Rs.1,00,000/- p.a.	5.00
7.	Bocks and Journals @ Rs.4,00,000/- p.a.	20.00
	<b>Total</b>	<b>70.00</b>
	<b>(Rs. In lakh)</b>	

Non – Recurring                      Rs. 25.00  
Recurring                                Rs. 70.00

-----  
Total (NR + R) for 5 years =    Rs. 95.00  
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(Rupees Ninety five lakh only)

8. The University is to maintain a separate bank account for the grants released under Special Assistance Programme. All interest earned by the university/department by investment of funds sanctioned and by the UGC under Special Assistance Programme will be treated as additional grant. The University/ Department will have to submit the Utilization Certificate of the earned interest (after prior approval of Advisory Committee), at the time of submitting the annual accounts for the programme
9. The University/ Department may follow the SAP Guidelines posted on the UGC website.
10. The University/Institute may follow the norms for appointment of Programme Coordinator and Deputy Coordinator (no Joint Coordinator or Coordinator) and also constitute an Advisory Committee as per the guidelines of the Commission which can be downloaded from UGC website [www.ugc.ac.in](http://www.ugc.ac.in) and follow the terms of reference of the Advisory Committee to ensure effective implementation and monitoring of the Programme. The constitution of the Advisory Committee is compulsory for all departments which are being supported under SAP. The UGC nominees in the Committee will be as indicated below. The departments may contact the UGC nominees for their acceptance and intimate the Commission.

- 1) Prof. Sugata Dasgupta, Centre for Economic Studies and Planning, School of Social Science, Jawaharlal Nehru University, New Delhi – 110 016.
- 2) Prof. Prabal Roy Chaudhari, Indian Statistical Institute, Sansanwal Marg, New Delhi – 110 016.

The active participation of the UGC nominees in each meeting of the Advisory Committee is essential. The composition and terms & reference of the Advisory Committee will be as given in the UGC website [www.ugc.ac.in](http://www.ugc.ac.in).

11. The University/Institute/Department is requested to take immediate steps to submit the following information/documents for necessary action:
  - i) Acceptance of the terms and conditions of the grants duly signed by the Registrar of the University/Institute.
  - ii) Name of the competent University Officer with full address and other bank details in (mandate form) the prescribed enclosed proforma so that the fund can be transferred electronically.
  - iii) Detailed statement of year-wise actual expenditure incurred against the grants allocated, sanctioned during the last phase may be submitted in the PROFORMA in the Annexure-V, of SAP Guidelines duly audited and certified by the Competent authority, in order to finalize the accounts of the earlier phase.
  - iv) Name of the Department Coordinator and Dy. Coordinator indicating (i) present designation (ii) Specialized areas(s) of research and (iii) date of superannuation.



- v) List of members of the Advisory Committee constituted by the university/institute as per guidelines.
  - vi) Year-wise academic programme and action proposed to be undertaken by the department during the period of **5 years** to implement the programme.
  - vii) The annual report of the work done during the year (as per effective date of the programme) should be submitted by the Programme Co-coordinator highlighting the achievements in research and teaching and indicating separately the progress in procuring of equipment / construction of Building (only addition, alteration and renovation , if sanctioned under the programme ) and the list of papers published in referred journal during the year positively reported by the end of every year.
  - viii) A Certificate from the Registrar of the university that the department is not self finance and is eligible to receive the UGC financial assistance.
12. The University/Institute shall take all possible measures to ensure effective implementation of policies of Government of India relating to SC/ST students and teachers in regard to the UGC programme. In case of non-teaching staff, the policies of the Central Government in respect of Central Universities and of the State Government in respect of State Universities shall be implemented.
13. The first installment of admissible grant will be released separately. In the meantime, the University may submit the requisite information requested vide **para 11 (i to viii)** by return of post.
14. No request for any change in the effective date will be considered.
15. The orders for purchase of equipment may be placed within six months from the date of receipt of the grant by the University.
16. The second and subsequent installment of grant for any approved items will be considered and sanctioned only on receipt of the year wise & item-wise Utilization Certificate alongwith annual progress report for the earlier installment in the prescribed form duly signed by the Registrar/Finance Officer as the case may be.
17. The Non-Recurring Grant approved will be released only after settlement of the previous accounts of SAP programme. (In case of ongoing programme).
18. The University/Institution shall include a specific condition in the Utilization Certificate, in respect of any financial assistance or grants-in-aid to any institution under any of the general or special schemes of the Commission that the University/institution has complied with the anti-ragging measures by stating that:

"The University/ Institution/College is strictly following the UGC Regulations on curbing the menace of Ragging in Higher Educational Institutions, 2009".

Yours faithfully,

(Dr. Nisar Ahmad Mir)  
Joint Secretary

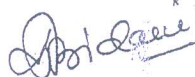
NOTE: - Please see SAP guidelines on UGC website [www.ugc.ac.in](http://www.ugc.ac.in).

Copy forwarded for information and necessary action to:-

Prof. Aditya Bhattacharjea,  
Programme Coordinator (CAS-III),  
Department of Economics (Delhi School of Economics),  
University of Delhi, Delhi – 110 007

Copy for information to:

- ✓ 1. The P.S. to Vice Chancellor,  
University of Delhi, Delhi – 110 007.
2. The Head, Department of Economics  
(Delhi School of Economics), University of Delhi,  
Delhi – 110 007.
3. The Secretary to the State Government of Delhi,  
Department of Education, Delhi.
4. Prof. Sugata Dasgupta, Centre for Economic Studies and Planning, School of Social Science,  
Jawaharlal Nehru University, New Delhi – 110 016.
5. Prof. Prabal Roy Chaudhari, Indian Statistical Institute, Sansanwal Marg, New Delhi – 110 016.
6. Guard File.
7. F.No.6-7/2009 (SAP-III)

  
(Smita Bidani)  
Education Officer